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DOCKET NO.: REFH-0153

PATENT
REPLY FILED UNDER EXPEDITED
PROCEDURE PURSUANT TO
37 CFR § 1.116



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Frederick S.M. Herz

Application No.: 10/054,057

Filing Date: January 22, 2002

For: Stock Market Prediction Using Natural Language Processing

Confirmation No.: 3878

Group Art Unit: 3624

Examiner: Debra F. Charles

EXPRESS MAIL LABEL NO: EV765642175US
DATE OF DEPOSIT: March 21, 2006

EV765642175US

MAIL STOP AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

NOTICE OF APPEAL FROM THE PRIMARY EXAMINER
TO THE BOARD OF APPEALS PURSUANT TO 37 CFR § 1.192

Applicant hereby appeals to the Board of Appeals from the Decision of the Primary Examiner mailed **September 21, 2005** finally rejecting claims 2-6 of the above-identified patent application.

The item(s) checked below are appropriate:

- ☒ Applicant(s) has previously claimed small entity status under 37 CFR §1.27.
- ☐ Applicant(s) by its/their undersigned attorney, claims small entity status under 37 CFR §1.27 as :
- ☒ Petition is hereby made under 37 CFR § 1.136(a) (fees: 37 CFR § 1.17(a)(1)-(4) to extend the time for response to the Office Action of September 21, 2005 to and through March 21, 2006 comprising an extension of the shortened statutory period of three.
- ☒ Attached hereto is a Pre-Appeal Brief Request for Review

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37 CFR § 1.116**

	SMALL ENTITY		NOT SMALL ENTITY	
	RATE	FEE	RATE	FEE
<input checked="" type="checkbox"/> NOTICE OF APPEAL	\$200	\$200.00	\$500	\$
<input type="checkbox"/> ONE MONTH EXTENSION OF TIME	\$60	\$	\$120	\$
<input type="checkbox"/> TWO MONTH EXTENSION OF TIME	\$225	\$	\$450	\$
<input checked="" type="checkbox"/> THREE MONTH EXTENSION OF TIME	\$510	\$510.00	\$1020	\$
<input type="checkbox"/> FOUR MONTH EXTENSION OF TIME	\$795	\$	\$1590	\$
<input type="checkbox"/> FIVE MONTH EXTENSION OF TIME	\$1080	\$	\$2160	\$
<input checked="" type="checkbox"/> LESS ANY EXTENSION FEE ALREADY PAID	minus	(\$225.00)	minus	(\$)
TOTAL FEE DUE		\$485.00		\$0

- ☒ A check in the amount of **\$485.00** is attached. Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.
- ☐ Please charge my Deposit Account No. 23-3050 in the amount of \$.00 . This sheet is attached in duplicate.
- ☒ The Commissioner is hereby requested to grant an extension of time for the appropriate length of time, should one be necessary, in connection with this filing or any future filing submitted to the U.S. Patent and Trademark Office in the above-identified application during the pendency of this application. The Commissioner is further authorized to charge any fees related to any such extension of time to Deposit Account No. 23-3050. This sheet is provided in duplicate.

Date: March 21, 2006



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